CITY OF JERSEY CITY
REQUEST FOR PROPOSALS:

CREDIT CARD/ELECTRONIC PAYMENT SERVICES

SUBMISSION DEADLINE:
11:00 A.M.
Tuesday, November 27, 2012

ADDRESS ALL PROPOSALS TO:
Peter Folgado
Director of Purchasing, QPA
Department of Administration
Division of Purchasing
1 Journal Square Plaza
Jersey City, New Jersey 07306
SECTION 1: GENERAL INFORMATION & SUMMARY

1.1 Organization Requesting Proposal
City of Jersey City - Department of Administration/All City Offices
City Hall
280 Grove Street
Jersey City, NJ 07302

1.2 Contact Person
Peter Folgado
Director of Purchasing, QPA
Department of Administration
Division of Purchasing
1 Journal Square Plaza
Jersey City, New Jersey
(201) 547-5156
(201) 547-6586 (fax)
PeterF@jcnj.org

1.3 Procurement Process
This contract will be awarded using the competitive contracting provision of the Local Public Contracts Law (N.J.S.A 40A:11-4.1 et seq) which is considered a “fair and open” process under the "New Jersey Local Unit Pay-to-Play" Law, N.J.S.A. 19:44A-20.4 et seq.

Proposals will be evaluated in accordance with the criteria set forth in this Request for Proposals (RFP). The governing body will approve a resolution awarding a contract to the consultant for a sum not to exceed a specified amount.

1.4 Contract Form
If selected to provide services, it is agreed and understood that the successful Respondent shall be bound by the requirements and terms contained in this RFP with regard to services performed, payments, indemnification, insurance, termination, and applicable licensing provisions.

It is also agreed and understood that the acceptance of the final payment by Contractor shall be considered a release in full of all claims against the City arising out of, or by reason of, the work done and materials furnished under this Contract.
1.5  Informational meeting
There will not be an informational meeting for this RFP.

1.6  Submission deadline
Proposals must be submitted to, and be received by the Division of Purchasing, via mail or hand delivery, by 11:00 a.m. prevailing time on Tuesday November 27, 2012. Proposals will not be accepted by facsimile transmission or e-mail.

1.7  Opening of proposals
Proposals shall be opened in public at 11:00 a.m. prevailing time on Tuesday, November 27, 2012 in the Division of Purchasing Conference Room, located on the second floor of 1 Journal Square Plaza, Jersey City, NJ.

1.8  Definitions
The following definitions shall apply to and are used in this Request for Proposal (RFP):

"City" - refers to the City of Jersey City.

"RFP" - refers to this Request for Proposals, including any amendments thereof or supplements thereto.

"Respondent" or "Respondents" - refers to the interested persons and/or firm(s) that submit a Proposal.

“Competitive Contracting” - refers to the process by which proposals are evaluated and contracts awarded, pursuant to N.J.S.A. 40A:11-4.1 et seq.

“Consultant” or “Consultants” - refers to the interested persons and/or firm(s) that submit a Proposal.

1.9  Submission address
All proposals should be sent to:

Peter Folgado
Director of Purchasing, QPA
Jersey City Department of Administration
Division of Purchasing
1 Journal Square Plaza
Jersey City, New Jersey 07306
CITY OF JERSEY CITY, NJ
DEPARTMENT: Administration
PURPOSE: Credit Card\Electronic Payment Svcs
REQUEST FOR PROPOSALS
DIVISION: All City Offices
DUE DATE: November 27, 2012
SECTION 2: INTRODUCTION AND GENERAL INFORMATION

2.1 Introduction and Purpose
The City of Jersey City is seeking proposals from qualified Consultants for services as described herein.

2.2 Competitive Contracting
This contract will be awarded using the competitive contracting provisions of the Local Public Contracts Law (N.J.S.A 40A:11-4.1 et seq.) which is considered a “fair and open” process under the "New Jersey Local Unit Pay-to-Play" Law, N.J.S.A. 19:44A-20.4 et seq.

The City has structured a procurement process that seeks to obtain the desired services, while establishing a competitive environment to assure that each person and/or firm is provided an equal opportunity to submit a proposal in response to the RFP. Proposals will be evaluated in accordance with the criteria set forth in Section 7 of this RFP, which will be applied in the same manner to each proposal received.

2.3 Evaluation Committee
Proposals will be reviewed and evaluated by a committee appointed by the City's Business Administrator. The proposals will be reviewed to determine if the Respondent has met the professional, administrative and subject area requirements described in this RFP. Pursuant to N.J.A.C. 5:34-4.3, “the names of the individuals who serve as committee members shall not be publicly disclosed until the evaluation report is presented to the governing body”.

2.4 Procurement Schedule
The steps involved in the process and the anticipated completion dates are set forth in the Procurement Schedule below. The City reserves the right to, among other things, amend, modify or alter the Procurement Schedule upon notice to all potential Respondents.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Date</th>
</tr>
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<tbody>
<tr>
<td>1. City Council approval of resolution authorizing use of competitive contracting for this project</td>
<td>Completed</td>
</tr>
<tr>
<td>2. Issuance of Request for Proposals</td>
<td>October 10, 2012</td>
</tr>
<tr>
<td>3. Receipt of Proposals</td>
<td>November 27, 2012</td>
</tr>
<tr>
<td>5. Award of contract</td>
<td>December 19, 2012</td>
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</tbody>
</table>
2.5 Addenda or Amendments to RFP

During the period provided for the preparation of responses to the RFP, the City may issue addenda, amendments or answers to written inquiries. Those addenda will be noticed by the City and will constitute a part of the RFP. All responses to the RFP shall be prepared with full consideration of the addenda issued prior to the proposal submission date.

All communications concerning this RFP or the RFP process shall be directed to the City's Purchasing Agent, in writing, via fax, or via e-mail. Responses to all questions will be forwarded as addenda to all prospective respondents who have provided accurate and current contact information (mailing address, fax number, e-mail address) to the Division of Purchasing.

Subsequent to issuance of this RFP, the City (through the issuance of addenda to all persons and/or firms that have received a copy of the RFP) may modify, supplement or amend the provisions of this RFP in order to respond to inquiries received from prospective Respondents or as otherwise deemed necessary or appropriate by (and in the sole judgment of) the City.

2.6 Rights of the City

The City reserves, holds and may exercise, at its sole discretion, the following rights and options with regard to this RFP and the procurement process in accordance with the provisions of applicable law:

- To conduct investigations of any or all of the Respondents, as the City deems necessary or convenient, to clarify the information provided as part of the Proposal and to request additional information to support the information included in any Proposal.

- To suspend or terminate the procurement process described in this RFP at any time (in its sole discretion.) If terminated, the City may determine to commence a new procurement process or exercise any other rights provided under applicable law without any obligation to the Respondents.

2.7 Cost of Proposal Preparation

Each Proposal and all information required to be submitted pursuant to the RFP shall be prepared at the sole cost and expense of the Respondent. There shall be no claims whatsoever against the City, its officers, officials or employees for reimbursement for the payment of costs or expenses incurred in the preparation of the Proposal or other information required by the RFP.
CITY OF JERSEY CITY, NJ REQUEST FOR PROPOSALS

DEPARTMENT: Administration DIVISION: All City Offices
PURPOSE: Credit Card\Electronic Payment Svcs DUE DATE: November 27, 2012

2.8 Proposal evaluation
Proposals will be evaluated on the basis of the written response to the RFP.

Each evaluator will rank the written proposals. The proposal ranked highest among the greatest number of evaluators will be selected for the project.

2.9 Written Proposal
Prospective consultants must submit a written proposal in a format specified by the City. The required format is detailed in Section 3.

2.10 Oral presentation
There will be no oral presentation for this project.

2.11 Equal Employment Opportunity/Affirmative Action
Consultant is required to comply with requirements of P.L. 1975, c. 127, the Law Against Discrimination and with N.J.A.C 17:27-1.1 et seq, and N.J.S.A. 10:5-31, the Affirmative Action Rules.

A party responding to this RFP must indicate what type of business organization it is e.g., corporation, partnership, sole proprietorship, or non-profit organization. If a party is a subsidiary or direct or indirect affiliate of any other organization, it must indicate in its proposal the name of the related organization and the relationship. If a party responding to this RFP is a corporation it shall list the names of those stockholders holding 10% or more of the outstanding stock.

Section 8 of this document describes general terms and conditions. Section 9 of this document contains required administrative forms which must accompany all proposals. Exclusion of any required form is grounds for rejection of proposals.

2.12 Disposition of RFP
Upon submission of a Proposal in response to this RFP, the Respondent acknowledges and consents to the following conditions relative to the submission and review and consideration of its Proposal:

• All Proposals shall become the property of the City and will not be returned.

• All Proposals will become public information at the appropriate time, as determined by the City (in the exercise of its sole discretion) in accordance with law.
CITY OF JERSEY CITY, NJ REQUEST FOR PROPOSALS
DEPARTMENT: Administration DIVISION: All City Offices
PURPOSE: Credit Card\Electronic Payment Svcs DUE DATE: November 27, 2012

2.13 Softcopy version of RFP
Prospective respondents who have obtained printed copies of this RFP and who have provided accurate and current contact information (mailing address, fax number, e-mail address) to the Division of Purchasing, may request a softcopy version of this RFP. Softcopy versions will be provided as a PDF file only.
SECTION 3: WRITTEN PROPOSAL FORMAT
Proposals must address all information requested in this RFP. Proposals which in the judgment of the City fail to meet the requirements of the RFP or which are in any way conditional, incomplete, obscure, contain additions or deletions from requested information, or contain errors may be rejected.

3.1 Mandatory content
Each proposal submitted must contain the ten (10) sections described below:

- Title Page
- Table of Contents
- Executive Summary
- Scope
- Objectives
- Project Workplan (including project organization, critical success factors and risks)
- Assumptions/City of Jersey City Responsibilities
- Staffing
- Timing & Fees
- Appendices/Other

The information requested by the sectional format described above is further defined.

3.2 Title Page
The Proposal should include a title page, which identifies the project; the Respondent's Firm, name of the Respondent's primary contact, address, telephone number, fax number and email address.

3.3 Table of Contents
The Respondent's Proposal should include a Table of Contents, which lists the titles and page numbers for each major topic and sub-topic contained in the proposal.

3.4 Executive Summary
This section should include a summary of the key points and highlights of the Respondent's response and should discuss the pricing contained in the Proposal.

3.5 Scope
In this section of the proposal, the Respondent should state what they believe to be the scope of the intended strategy within the City of Jersey City. If there are any gaps between what the Respondent believes should be the proper scope of the solution given all information known at the
time of this RFP, the Respondent should clearly state these gaps in this section and clearly mark these concerns as such.

3.6 Objectives
In this section of the Proposal, the Respondent should state what it believes to be primary objectives for each element of the plan. Respondents may choose to offer suggestions to the City of Jersey City on how objectives for this type and size of a phased project should be measured throughout the life of the implementation, to ensure success in delivery of every business priority.

3.7 Project Work Plan
In addition to providing a high-level project work plan, this section should describe each of the proposed phases, activities and tasks that the City of Jersey City should execute to achieve success. In addition to the tasks, it is assumed that the Respondent will identify the resources needed to complete the associated task, and that the resource identified will have been included in the project organizational structure. All assumptions that were made to complete the project plan should be documented in this section.

The work plan should present a picture of key activities, milestones, key dates, etc. necessary to deliver this project. The City of Jersey City realizes that each Respondent brings its own methodology and work plan.

3.8 Assumptions/Jersey City Responsibilities
In this section, the Respondent should clearly describe any assumptions relating to the responsibilities and/or commitments the Respondent is expecting of the City of Jersey City throughout the life of this project.

3.9 Staffing
A discussion of the project team that will be utilized should be contained in this section. The City of Jersey City requests that as part of the discussion here, the Respondent state exactly the role the proposed Respondent team member will assume on each phase and detail the qualifications for the role that the team member possesses.

3.10 Timing and Fees
In this section, please describe the timing and associated fees the Respondent is proposing for the implementation. Respondents should be sure to include all expenses associated with delivery, in addition to professional fees. The Respondent must begin the project within two weeks of Council approval and must have the system operational within a month of the Council approval.
It is important to note that pursuant to N.J.S.A. 40A:5-16, the City is prohibited from paying for goods or services before they have been provided. Therefore, any proposals which specify payment upon contract signing will be deemed unresponsive and rejected.

3.11 Appendices/Other
This section should include at minimum: Consultant qualifications, references and resumes. If respondents feel that other materials are necessary (such as promotional literature, white papers, etc) they should provide them in a separate document clearly labeled “Additional Materials” in order to adhere to the 30 page maximum guideline for proposal length. Note that these materials may or may not be reviewed by all evaluators and will not be part of the official evaluation.

Finally, any out-of-scope services not covered in other sections should be included here. A description of the personnel likely to be involved, and the resources brought to bear (including costs and/or hourly rates) must be provided.
SECTION 4: PROJECT OBJECTIVES
The Mayor and the Administration of the City of Jersey City seek to provide members of the public with the ability to pay all current transactions and all future transactions approved by the Municipal Council, e.g. property taxes, building permits, dog licenses, etc. via a variety of channels, including but not necessarily limited to:

- Credit cards
- Electronic checks
- Internet
- Pay by phone (IVR)
- Point of sale

These transactions are listed in “Chapter 160 - Fees and Charges” of the Jersey City Municipal Code, available on the City’s website at http://library.municode.com/print.aspx?clientID=16093&HTMRequest=http%3a%2f%2flibrary.municode.com%2fHTML%2f16093%2flevel1%2fCH160FECH.html

SECTION 5: SCOPE OF SERVICES
The successful consultant will undertake a broad range of services, as is customary in the acceptance credit cards by public entities. For example, the consultant shall create a seamless web interface with the various City’s web sites to provide easy-to-use payment options.

In addition, the consultant shall provide the following services for the City’s Tax Collector’s Office:

- Authorizations
- Funds settlement
- Deposit balancing
- Reversals
- Reporting
- Customer service
- Security
- Integration with City’s Tax Collection application (H&L Systems)

Pursuant to N.J.S.A. 40A:11-4.2, the City intends to award a 5 year contract to the successful respondent.
SECTION 6: PROPOSAL SUBMISSION REQUIREMENTS

To be responsive, Proposals must provide all requested information, and must be in strict conformance with the instructions set forth herein. Proposals and all related information must be bound, and signed and acknowledged by the Respondent.

6.1 Number of copies
Respondents must submit one signed original and at least 6 copies of their proposal of which 1 must be unbound (for photocopying purposes).

6.2 Proposal media
Proposals forwarded by facsimile or e-mail will not be accepted, however respondents may alternately submit one signed original and 1 softcopy version (PDF preferred) on CD.

Please note that the City will not be responsible for CDs or softcopy files which cannot be opened, and that this may be grounds for rejection.

6.3 Proposal format
To facilitate a timely and comprehensive evaluation of all submitted Proposals, it is essential that all Respondents adhere to the required response format. The City of Jersey City requires a standard format for all Proposals submitted to ensure that clear, concise and complete statements are available from each Respondent in response to requirements. The required format is detailed in Section 3.

The City of Jersey City is not under any obligation to search for clarification through additional or unformatted information submitted as a supplement to the formatted response. Where a proposal contains conflicting information, the City of Jersey City at its option may either request clarification or may consider the information unresponsive.

6.4 Proposal length
The exact presentation and layout format of Proposals is up to the discretion of the Respondent, however a maximum length of 30 pages is strongly suggested.

6.5 Submission deadline
Proposals must be received by the City no later than 11:00 a.m. prevailing time on Tuesday, November 27, 2012, and must be mailed or hand-delivered.
SECTION 7: PROPOSAL EVALUATION
The City's objective in soliciting Proposals is to enable it to select a Respondent that will provide high quality and cost effective services to the citizens of Jersey City. The City will consider Proposals only from Respondents that, in the City's sole judgment, have demonstrated the capability and willingness to provide high quality services to the citizens of the City in the manner described in this RFP.

7.1 Evaluation methodology
Proposals will be evaluated on written responses only.

7.2 Written response evaluation
There will be nine criteria by which proposals will be evaluated. Each criterion will bear a certain weight, and the extent to which the criterion is met or exceeded will be determined by the committee.

a. Required Format: 10 points
The committee will determine the extent to which the proposal includes the required sections (Title page, Table of contents, etc). One (1) point will be awarded for each section.

b. Payment Processing: 10 points
Vendor should demonstrate ability to accommodate a variety of payment types (e.g., electronic checks, credit cards) over a variety of payment channels (e.g., internet, IVR, etc.).

• Payment types (5 points)
• Payment channels (5 points)

c. Funds Processing: 10 points
Vendor should detail funds processing services to be provided (including but not limited to):
• Authorization
• Settlement/Balancing
• Corrections/Reversals
• Reports (provide samples)

d. Prior experience with similar projects: 10 points
The City does not wish to overly educate its consultants as to the workings (both operational and statutory) of municipal government.
As a result, proposals which include documentation (including references) of successful projects in municipalities of similar size (population 240,000; annual operating budget $500M) and diversity will be viewed more favorably. This section should include (5 points each):

- Client references (including contact information)
- Details of project size

e. **Technical: 10 points**
Vendor should demonstrate ability to create/develop seamless interfaces with the City’s various web sites to facilitate easy-to-use transactions, and also interface with City’s Tax Collection application (H&L Systems) and provide data on reliability, capacity, and security of its own network and applications.

f. **Personnel assigned: 5 points**
Through this project, Jersey City will be reinforcing its reputation as a world class city, and is therefore entitled to expect world class credentials and experience from the consultants which it employs for high profile efforts. Resumes of consultant personnel will be scrutinized to ensure this requirement is met. Proposals which provide detailed accounts of team members' applicable experience and their anticipated roles in this project will be viewed more favorably.

g. **Project timeline: 5 points**
The City favors an aggressive timeline for implementation and expects to have services in place as soon as possible.

h. **Cost: 35 points**
This contract will be awarded as a concession. As such, it is expected that there will be no cost to the City. Nevertheless, the City has an obligation to act in the best interests of the taxpayers. Vendor should provide detailed schedule of fees charged to customers. Vendors with the ability to completely waive fees charged to users are strongly encouraged to do so, and/or to provide create alternatives which would provide for the waiving of fees. Please note the City intends to award a 5-year contract to the successful respondent.

i. **Commitment to diversity: 5 points**
Support of, and utilization of Minority and Women Owned Business Enterprises (MBE/WBE), and/or Locally Owned Business Enterprises (LBE) consistent with the City
7.3 **Response weights**
For each of the above written response criteria, the committee will determine the extent to which the requirements are fulfilled. This will be scored from 0 to 2, as follows:

0 No requirements met
1 Some or most requirements met
2 All requirements met or exceeded

Each criterion will be weighted by the extent to which the requirements are met and the resultant scores totaled, with 200 being the highest possible score. Each evaluator will then rank the Respondents by total score and the top three respondents identified.

7.4 **Final evaluation and report of committee**
Based on the written responses each evaluator will rank the finalists. The Respondent whose proposal is ranked highest among the greatest number of evaluators will be selected for the project. The highest possible score is 200.

The Committee will prepare a report listing the names of all Consultants who submitted proposals, summarizing each proposal, ranking Consultants in order of evaluation, and recommending the selection of a Consultant, indicating the reasons why the Consultant was selected and detailing the terms, conditions, scope of services, fees and other matters to be incorporated into the contract.

7.5 **Contract award**
A contract will be awarded pursuant to N.J.S.A 40A:11-4.1 et seq. and N.J.A.C 5:34-4.1 et seq. (Competitive Contracting Law and Regulations) and is intended for a five-year term. The Municipal Council will vote to accept the proposal of a Consultant within 60 days of the receipt of proposals, except that the proposals of any Consultants who consent thereto, may, at the request of the City, be held for consideration for such longer period as may be agreed.
SECTION 8: GENERAL TERMS AND CONDITIONS

The following are general terms and conditions which may or may not be explained elsewhere in this RFP.

8.1 City’s right to reject
The City reserves the right to reject any or all Proposals, if necessary, or to waive any informalities in the Proposals, and, unless otherwise specified by the Respondent, to accept any item, items or services in the Proposal should it be deemed in the best interest of the City.

8.2 Original/Authorized signatures
Each proposal and all required forms must be signed in ink by a person authorized to do so and/or notarized as indicated.

8.3 Delivery of proposals
Proposals may be hand delivered or mailed consistent with the provisions of the legal notice to Respondents. In the case of mailed Proposals, the City assumes no responsibility for Proposals received after the designated date and time and will return late Proposals unopened. Proposals will not be accepted by facsimile or e-mail.

8.4 Equal Employment Opportunity/Affirmative Action requirements
Consultants are required to comply with the provisions of N.J.S.A. 10:5-31 and N.J.A.C. 17:27 et seq. No firm may be issued a contract unless it complies with these affirmative action provisions. The Mandatory Equal Employment Opportunity/Affirmative Action Language for Goods, Professional Services and General Service Contracts, Exhibit A summarizes the full required regulatory text.

Goods and Services (including professional services) consultants/contractors shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

a. A photo copy of a valid letter that the contractor is operating under an existing Federally approved or sanctioned affirmative action programs (good for one year from the date of the letter); or

b. A photocopy of a Certificate of Employee Information Report approval, issued in accordance with N.J.A.C. 17:27-4; or

c. A photocopy of an Employee Information Report (Form AA302) provided by the Division and distributed to the public agency to be completed by the contractor, in accordance with
The Consultant’s attention is also called to Section 9 of this document which contains the required information and forms. For information on EEO/AA requirements and forms only, please contact:

Jeana F. Abuan, Affirmative Action Officer, Public Agency Compliance Officer
Department of Administration, Office of Equal Opportunity/Affirmative Action
280 Grove Street Room-103
Jersey City NJ 07302

Tel. #201-547-4533
Fax# 201-547-5088
E-mail Address: abuanJ@jcnj.org

8.5 Business Registration Certificate
P.L. 2004, c. 57 (Chapter 57) amends and supplements the business registration provisions of N.J.S.A. 52:32-44 which impose certain requirements upon a business competing for or entering into a contract with a local contracting agency whose contracting activities are subject to the requirements of the Local Public Contracts Law (N.J.S.A. 40A:11-2).

Consultants are required to comply with the requirements of P.L. 2004, c. 57 (Chapter 57) which include submitting a copy of their Business Registration Certificate (BRC), issued by the NJ Department of the Treasury.

For more information on obtaining a BRC, see Section 9.

8.6 Clarification of RFP
Should any difference arise as to the meaning or intent of this RFP, the City's Business Administrator’s decision shall be final and conclusive.

8.7 Indemnification
The Respondent, if awarded the contract, agrees to protect, defend and save harmless the City against damage for payment for the use of any patented material process, article or devise that may enter into the manufacture, construction or form a part of the work covered by either order or contract, and further agrees to indemnity and save harmless the City from suits or actions of every nature and description brought against it for, or on account of, any injuries or damages received or sustained by any party or parties by, or from, any of the acts of the contractor, its servants or agents.
8.8 Insurance requirements
The Consultant shall maintain sufficient insurance to protect against all claims under Workmen's Compensation, General and Automobile Liability, and shall be subject to approval for adequacy of protection. Certificates of such insurance shall be provided the City when required. Insurance requirements are as follows:

- Comprehensive General Liability in the amount of $2,000,000
- Workers Compensation in the statutory amount of $100,000
- Automobile Liability in the amount of $1,000,000
- Professional Liability in the amount of $1,000,000

8.9 Termination
Should a dispute arise, and if, after a good faith effort at resolution, the dispute is not resolved, either party may terminate the contract by providing 60 days written notice to the other party. Regardless, the City reserves the right to cancel the contract by providing 60 days written notice to the consultant.
SECTION 9: REQUIRED ADMINISTRATIVE FORMS

Please place the checklist and the required forms which follow at the front of your proposal to facilitate Purchasing’s review.
CITY OF JERSEY CITY

PROJECT: CREDIT CARD/ELECTRONIC PAYMENT PROCESSING

RESPONDENT: ________________________________

RESPONDENT’S CHECKLIST

<table>
<thead>
<tr>
<th>Item</th>
<th>Respondent Initials</th>
<th>Purchasing Review</th>
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<tbody>
<tr>
<td>A. Non-Collusion Affidavit properly notarized</td>
<td></td>
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<tr>
<td>B. Public Disclosure Statement *</td>
<td></td>
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<td>C. Mandatory Affirmative Action Language</td>
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<td>D. Americans with Disabilities Act</td>
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<td>E. MWBE Questionnaire</td>
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<td>F. Affirmative Action Compliance Notice</td>
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<td>G. Employee Information Report</td>
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<td>H. Business Registration Certificate</td>
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<td>I. Original signature(s) on all required forms.</td>
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* Failure to include the completed Public Disclosure Statement with the proposal will result in an automatic rejection of the bid.
NON COLLUSION AFFIDAVIT
STATE OF NEW JERSEY
CITY OF JERSEY CITY ss:

I certify that I am ______________________________________________________________
of the firm of _________________________________________________________________

the Respondent making the proposal for the above named project, and that I executed the said
proposal with full authority so to do; that said Respondent has not, directly or indirectly entered
into any agreement, participated in any collusion, or otherwise taken any action in restraint of
free, competitive bidding in connection with the above named project; and that all statements
contained in said proposal and in this affidavit are true and correct, and made with full
knowledge that the City of Jersey City relies upon the truth of the statements contained in said
proposal and in the statements contained in this affidavit in awarding the contract for the said
project.

I further warrant that no person or selling agency has been employed to solicit or secure such
contract upon an agreement or understanding for a commission, percentage, brokerage or
contingent fee, except bona fide employees or bona fide established commercial or selling
agencies maintained by (N.J.S.A.52: 34-25)

(Signature of Respondent) _____________________________________________________

SUBSCRIBED AND SWORN TO
BEFORE ME THIS DAY               ___________________________OF 20_________

(TYPE OR PRINT NAME OF  AFFIANT UNDER SIGNATURE)

NOTARY PUBLIC OF
MY COMMISSION EXPIRES: 20.

(NOTE: THIS FORM  MUST BE COMPLETED, NOTARIZED AND RETURNED
WITH THIS PROPOSAL).
PUBLIC DISCLOSURE INFORMATION
Chapter 33 of the Public Laws of 1977 provides that no Corporation or Partnership shall be awarded any State, City, Municipal or Schools District contracts for the performance of any work or the furnishing of any materials or supplies, unless prior to the receipt of the bid or accompanying the bid of said corporation or partnership there is submitted a public disclosure information statement. The statement shall set forth the names and addresses of all stockholders in the corporation or partnership who own ten percent (10%) or more of its stock of any class, or of all individual partners in the partnership who own a ten percent (10%) or greater interest therein.

STOCKHOLDERS:

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<th>Name</th>
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SIGNATURE: ________________________________________________________________

TITLE: ________________________________________________________________

SUBSCRIBED AND SWORN TO
BEFORE ME THIS DAY ________________OF 20________

(TYPE OR PRINT NAME OF AFFIANT UNDER SIGNATURE)

NOTARY PUBLIC OF
MY COMMISSION EXPIRES: 20 .

(NO: THIS FORM MUST BE COMPLETED, NOTARIZED AND RETURNED WITH THIS PROPOSAL).
GOODS, PROFESSIONAL SERVICE AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor’s commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to meet targeted county employment goals established in accordance with N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the
statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

- Letter of Federal Affirmative Action Plan Approval
- Certificate of Employee Information Report
- Employee Information Report Form AA302 (electronically provided by the Division and distributed to the public agency through the Division’s website at www.state.nj.us/treasury/contract_compliance)

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Public Contracts Equal Employment Opportunity Compliance as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Public Contracts Equal Employment Opportunity Compliance for conducting a compliance investigation pursuant to Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.

The undersigned vendor certifies on their company's receipt, knowledge and commitment to comply with:

EXHIBIT A
N.J.S.A. 10:5-31 and N.J.A.C. 17:27
MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE
Goods, Professional Services and General Service Contracts
(Mandatory Affirmative Action Language)

The undersigned vendor further agrees to furnish the required forms of evidence and understands that their contract/company's bid shall be rejected as non-responsive if said contractor fails to comply with the requirements of N.J.S.A. 10:5-31 and N.J.A.C. 17:27.

Representative's Name/Title (Print):________________________________________
Representative's Signature:________________________________________________
Name of Company:_________________________________________________________
Tel. No.:________________Date:____________
The contractor and the __________________ of ________________________, (hereafter “owner”) do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the ”Act”) (42 U.S.C. §12101 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs, and activities provided or made available by public entities, and the rules and regulations promulgated pursuant there unto, are made a part of this contract.

In providing any aid, benefit, or service on behalf of the owner pursuant to this contract, the contractor agrees that the performance shall be in strict compliance with the Act.

In the event that the contractor, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the contractor shall defend the owner in any action or administrative proceeding commenced pursuant to this Act.

The contractor shall indemnify, protect, and save harmless the owner, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages, of whatever kind or nature arising out of or claimed to arise out of the alleged violation.

The contractor shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the owner’s grievance procedure, the contractor agrees to abide by any decision of the owner which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the owner, or if the owner incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the contractor shall satisfy and discharge the same at its own expense.

The owner shall, as soon as practicable after a claim has been made against it, give written notice thereof to the contractor along with full and complete particulars of the claim. If any action or administrative proceeding is brought against the owner or any of its agents, servants, and employees, the owner shall expeditiously forward or have forwarded to the contractor every demand, complaint, notice, summons, pleading, or other process received by the owner or its representatives.

It is expressly agreed and understood that any approval by the owner of the services provided by the contractor pursuant to this contract will not relieve the contractor of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the owner pursuant to this paragraph.

It is further agreed and understood that the owner assumes no obligation to indemnify or save harmless the contractor, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement.

Furthermore, the contractor expressly understands and agrees that the provisions of this
indemnification clause shall in no way limit the contractor’s obligations assumed in this Agreement, nor shall they be construed to relieve the contractor from any liability, nor preclude the owner from taking any other actions available to it under any other provisions of the Agreement or otherwise at law.

Representative’s Name/Title Print):___________________________________________

Representative’s Signature:__________________________________________________

Name of Company:___________________________________________________________

Tel. No.:______________________ Date:________________________.
MINORITY/WOMAN BUSINESS ENTERPRISE (MWBE)
Questionnaire for Bidders

Jersey City Ordinance C-829 establishes a goal of awarding 20% of the dollar amount of total city procurement to minority and woman owned business enterprises. To assist us in monitoring our achievement of this goal, please indicate below whether your company is or is not a minority owned and/or woman owned business, and return this form with your bid proposal.

Business Name: _______________________________________________________

Address: ______________________________________________________________________

Telephone No.: _______________________________________________________________

Contact Name: ________________________________________________________________

Please check applicable category:

_____ Minority Owned    _____       Minority & Woman Owned

_____ Woman Owned       _____       Neither

**Definition of Minority Business Enterprise**

Minority Business Enterprise means a business which is a sole proprietorship, partnership or corporation at least 51% of which is owned and controlled by persons who are African American, Hispanic, Asian American, American Indian or Alaskan native, defined as follows:

- **African American:** a person having origins in any of the black racial groups of Africa

- **Hispanic:** a person of Mexican, Puerto Rican, Central or South American or other non-European Spanish culture or origin regardless of race.

- **Asian:** a person having origins in any of the original peoples of the Far East, South East Asia, Indian subcontinent, Hawaii or the Pacific Islands.

- **American Indian or Alaskan Native:** a person having origins in any of the original peoples of North America and who maintains cultural identification through tribal affiliation or community recognition.

**Woman Business Enterprise**

Woman Business Enterprise means a business which is a sole proprietorship, partnership or corporation at least 51% of which is owned and controlled by a woman or women.

**AFFIRMATIVE ACTION COMPLIANCE (SAMPLE DOCUMENTS)**

Consultant must submit one of the following 3 documents:

- Letter of Federal Affirmative Action Plan Approval
- Certificate of Employee Information Report
- Employee Information Report Form AA302 (submitted to NJ State Dept of Treasury)
February 27, 19__

Reply to the attention of:

President

Dear

Our recent compliance review of your establishment's equal employment opportunity policies and practices was completed on February 27, 19__.

We found no apparent deficiencies or violations of Executive Order 11246, as amended, Section 503 of the Rehabilitation Act of 1973 or of 38 USC 2012 (the Vietnam Era Veterans' Readjustment Assistance Act). Accordingly, your establishment is deemed to be in compliance with these laws based on the material reviewed.

The Office of Federal Contract Compliance Programs sincerely appreciated the cooperation and courtesies extended by you and your staff during the conduct of the compliance review.

Sincerely,

Area Office Director
INSTRUCTIONS FOR COMPLETING THE EMPLOYEE INFORMATION REPORT (FORM AA302)

IMPORTANT:
Read the following instructions carefully before completing the form. Print or type all information. Failure to properly complete the entire form may delay issuance of your certificate.

If you have a current certificate of employee information report, do not complete this form. Send copy of current certificate to the public agency. Do not complete this form for construction contract awards.

ITEM 1 - Enter the Federal Identification Number assigned by the Internal Revenue Service, or if a Federal Employer Identification Number has been applied for, or if your business is such that you have not or will not receive a Federal Employer Identification Number, enter the Social Security Number of the owner or of one partner, in the case of a partnership.

ITEM 2 - Check the box appropriate to your TYPE OF BUSINESS. If you are engaged in more than one type of business check the predominate one. If you are a manufacturer deriving more than 50% of your receipts from your own retail outlets, check “Retail”.

ITEM 3 - Enter the total “number” of employees in the entire company, including part-time employees. This number shall include all facilities in the entire firm or corporation.

ITEM 4 - Enter the name by which the company is identified. If there is more than one company name, enter the predominant one.

ITEM 5 - Enter the physical location of the company. Include City, County, State and Zip Code.

ITEM 6 - Enter the name of any parent or affiliated company including the City, County, State and Zip Code. If there is none, so indicate by entering “None” or N/A.

ITEM 7 - Check the box appropriate to your type of company establishment. “Single-establishment Employer” shall include an employer whose business is conducted at only one physical location. “Multi-establishment Employer” shall include an employer whose business is conducted at more than one location.

ITEM 8 - If “Multi-establishment” was entered in item 8, enter the number of establishments within the State of New Jersey.

ITEM 9 - Enter the total number of employees at the establishment being awarded the contract.

ITEM 10 - Enter the name of the Public Agency awarding the contract. Include City, County, State and Zip Code.

ITEM 11 - Enter the appropriate figures on all lines and in all columns.

THIS SHALL ONLY INCLUDE EMPLOYMENT DATA FROM THE FACILITY THAT IS BEING AWARDED THE CONTRACT.
DO NOT list the same employee in more than one job category.

DO NOT attach an EEO-1 Report.

**Racial/Ethnic Groups will be defined:**

**Black:** Not of Hispanic origin. Persons having origin in any of the Black racial groups of Africa.

**Hispanic:** Persons of Mexican, Puerto Rican, Cuban, or Central or South American or other Spanish culture or origin, regardless of race.

**American Indian or Alaskan Native:** Persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

**Asian or Pacific Islander:** Persons having origin in any of the original peoples of the Far East, Southeast Asia, the Indian Sub-continent or the Pacific Islands. This area includes for example, China, Japan, Korea, the Philippine Islands and Samoa.

**Non-Minority:** Any Persons not identified in any of the aforementioned Racial/Ethnic Groups.

**ITEM 12** - Check the appropriate box. If the race or ethnic group information was not obtained by 1 or 2, specify by what other means this was done in 3.

**ITEM 13** - Enter the dates of the payroll period used to prepare the employment data presented in Item 12.

**ITEM 14** - If this is the first time an Employee Information Report has been submitted for this company, check block “Yes”.

**ITEM 15** - If the answer to Item 15 is “No”, enter the date when the last Employee Information Report was submitted by this company.

**ITEM 16** - Print or type the name of the person completing the form. Include the signature, title and date.

**ITEM 17** - Enter the physical location where the form is being completed. Include City, State, Zip Code and Phone Number.

**TYPE OR PRINT IN SHARP BALL POINT PEN**

The consultant is to complete the employee information report form (AA302) and retain copy for the consultant’s own files. The respondent is to submit a copy to the public agency awarding the
contract and forward a copy to:

**NJ Department of the Treasury**  
**Division of Contract Compliance & Equal Employment Opportunity**  
P.O. Box 209  
Trenton, New Jersey 08625-0209 Telephone No. (609) 292-5475


Note that the sample form shown on the following page is for illustrative purposes only and should not be submitted. Use the state website links above to obtain the actual form.

If you have any questions on EEO/AA forms and/or requirements, please contact:

Jeana F. Abuan, Affirmative Action Officer, Public Agency Compliance Officer  
Department of Administration, Office of Equal Opportunity/Affirmative Action  
280 Grove Street Room-103  
Jersey City NJ 07302

Tel. #201-547-4533  
Fax# 201-547-5088  
E-mail Address: abuanJ@jcnj.org
**STATE OF NEW JERSEY**
Division of Contract Compliance & Equal Employment Opportunity

**EMPLOYEE INFORMATION REPORT**

For instructions on completing the form, go to: [http://www.state.nj.us/ treas/contract_compliance/pdf/a0302ins.pdf](http://www.state.nj.us/treas/contract_compliance/pdf/a0302ins.pdf)

---

### SECTION A - COMPANY IDENTIFICATION

1. ERI NO. OR SOCIAL SECURITY
2. TYPE OF BUSINESS
   - [ ] MFG
   - [ ] SERVICE
   - [ ] WHOLESALE
3. TOTAL NO. EMPLOYEES IN THE ENTIRE COMPANY

4. COMPANY NAME

5. STREET
6. CITY
7. STATE
8. ZIP CODE

5. NAME OF PARENT OR AFFILIATED COMPANY (IF NONE, SO INDICATE)
6. CITY
7. STATE
8. ZIP CODE

7. CHECK ONE IF THE COMPANY: [ ] SINGLE-ESTABLISHMENT EMPLOYER
   [ ] MULTIPLE-ESTABLISHMENT EMPLOYER

8. IF MULTIPLE-ESTABLISHMENT EMPLOYER, STATE THE NUMBER OF ESTABLISHMENTS: __

9. TOTAL NUMBER OF EMPLOYEES AT ESTABLISHMENT WHICH HAS BEEN AWARDED THE CONTRACT

10. PUBLIC AGENCY AWARDED CONTRACT

---

### SECTION B - EMPLOYMENT DATA

11. Report all permanent, temporary and part-time employees. **DO NOT INCLUDE** those for which the appropriate figures are not listed in all columns. Where there are no employees in a particular category, enter zero. Include ALL employees, not just those in minority-equal vacancy categories, in columns 12, 13 & 14. **DO NOT SUMM**

---

#### JOB CATEGORIES

- OFFICER/ MANAGERS
- PROFESSIONALS
- TECHNICIANS
- SKILLED WORKERS
- OFFICE/ SUPPORT
- CONSTRUCTION (Gilded)
- OPERATIONS (Semi-white)
- UNCLASSIFIED
- SERVICE WORKERS
- TOTAL

**TOTAL TIME: Total employment time from previous reporting period**

**TEMPORARY EMPLOYEES:** The data below shall not be included in the figures for the appropriate categories above.

---

#### ALL EMPLOYEES

<table>
<thead>
<tr>
<th>JOB CATEGORIES</th>
<th>TOTAL [MALE]</th>
<th>TOTAL [FEMALE]</th>
<th>PERMANENT MALE</th>
<th>PERMANENT FEMALE</th>
<th>MAJORITY MINORITY</th>
<th>MAJORITY NON-MINORITY</th>
<th>MAJORITY MALE</th>
<th>MAJORITY FEMALE</th>
<th>MINORITY MALE</th>
<th>MINORITY FEMALE</th>
<th>NON-MINORITY MALE</th>
<th>NON-MINORITY FEMALE</th>
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<td>OFFICIAL/ MANAGERS</td>
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<td>SKILLED WORKERS</td>
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<td>OFFICE/ SUPPORT</td>
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</tbody>
</table>

12. HOW WAS INFORMATION AS TO RACE OR ETHNIC GROUP IN SECTION B OBTAINED?

- [ ] Visual Survey
- [ ] Employment Record
- [ ] Other (Specify): __________

13. DATES OF PAYROLL PERIOD USED: From: __________ To: __________

14. IS THIS THE FIRST EMPLOYMENT INFORMATION REPORT SUBMITTED?

- [ ] Yes
- [ ] No

15. IF NO, DATE LAST SUBMITTED: __________

---

### SECTION C - SIGNATURE AND IDENTIFICATION

16. NAME OF PERSON COMPLETING FORM (Print or Type)

17. ADDRESS NO & STREET

---

### SIGNATURE

---

### TITLE

---

### DATE

---

### PHONE/AREA CODE/NO EXTENSION

---
BUSINESS REGISTRATION LANGUAGE AND SAMPLE BRC

Refer to http://www.state.nj.us/treasury/revenue/busregcert.htm


MANDATORY BUSINESS REGISTRATION LANGUAGE

Non Construction Contracts

P.L. 2004, c. 57 (Chapter 57) amends and supplements the business registration provisions of N.J.S.A. 52:32-44 which impose certain requirements upon a business competing for, or entering into a contract with a local contracting agency whose contracting activities are subject to the requirements of the Local Public Contracts Law (N.J.S.A. 40A:11-2).

“New Jersey Business Registration Requirements”

The contractor shall provide written notice to its subcontractors of the responsibility to submit proof of business registration to the contractor.

Before final payment on the contract is made by the contracting agency, the contractor shall submit an accurate list and the proof of business registration of each subcontractor or supplier used in the fulfillment of the contract, or shall attest that no subcontractors were used.

For the term of the contract, the contractor and each of its affiliates and a subcontractor and each of its affiliates [N.J.S.A. 52:32-44(g)(3)] shall collect and remit to the Director, New Jersey Division of Taxation, the use tax due pursuant to the Sales and Use Tax Act on all sales of tangible personal property delivered into this State, regardless of whether the tangible personal property is intended for a contract with a contracting agency.

A business organization that fails to provide a copy of a business registration as required pursuant to section of P.L.2001, c.134 (C.52:32-44 et al.) or subsection e. or f. of section 92 of P.L.1977, c.110 (C.5:12-92), or that provides false business registration information under the requirements of either of those sections, shall be liable for a penalty of $25 for each day of violation, not to exceed $50,000 for each business registration copy not properly provided under a contract with a contracting agency.”

---

Sample BRC Certificate:

[Image of sample BRC certificate with text:]

- Taxpayer Name:
- Tax Registration Test Account:
- Trade Name:
- Address:
- Certificate Number:
- Date of Issuance:
- For Office Use Only: 2801-01-12-01-02-03

[Sample text on certificate]